


- Please send the completed form and documentation to **086 677 0007 (fax)** or transact@coronation.co.za
- Should you have any queries kindly call us on **0800 22 11 77** or email clientservice@coronation.co.za

Expressions used herein are defined in the Terms and Conditions for Appointment as Financial Advisory/Adviser (Annexure A).

 Please note that changes can be made at advisory level and adviser level. Please provide the relevant advisory/adviser number for each product.

A: UPDATE ADVISORY INFORMATION

Existing advisory information

- South African Unit Trust Funds and Tax-Free Investments Advisory number: _____
- Offshore Investment Funds Advisory number: _____
- Life and Retirement Products Advisory number: _____

Trading name: _____

Registration number: _____

FSP license number: _____

New advisory information

Please complete only the information that you would like to have updated.

Business status: Sole proprietor Company Close corporation Partnership Trust

Registered name of business: _____

Trading name: _____

Registration number: _____

Income tax number: _____

Vat registered? Yes No If yes, VAT number: _____

Office postal address: _____

_____ Postal code: _____


Office physical address: _____

_____ Postal code: _____

Telephone number: (_____) _____ Cellphone number: (_____) _____

Email address: _____

Contact person name: _____

 If any of the above details have changed, please provide us with the documents as detailed in section I.
If your FSP license number has changed please complete the new Financial Advisory and Adviser Application form.



B: UPDATE FINANCIAL ADVISER DETAILS



If an adviser has had a change of name, please attach a copy of the ID document bearing ID number and photograph.
If applicable, a copy of the adviser's marriage certificate will be required.

Adviser 1

- South African Unit Trust Funds and Tax-Free Investments Adviser number: _____
- Offshore Investment Funds Adviser number: _____
- Life and Retirement Products Adviser number: _____

New details

Please complete only the information that you would like to have updated.

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Income tax number: _____

Cellphone number: (_____) _____ Work telephone number: (_____) _____

Email address: _____

Adviser 2

- South African Unit Trust Funds and Tax-Free Investments Adviser number: _____
- Offshore Investment Funds Adviser number: _____
- Life and Retirement Products Adviser number: _____

New details

Please complete only the information that you would like to have updated.

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Income tax number: _____

Cellphone number: (_____) _____ Work telephone number: (_____) _____

Email address: _____

Adviser 3

- South African Unit Trust Funds and Tax-Free Investments Adviser number: _____
- Offshore Investment Funds Adviser number: _____
- Life and Retirement Products Adviser number: _____

New details

Please complete only the information that you would like to have updated.

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national): _____

Income tax number: _____

Cellphone number: (_____) _____ Work telephone number: (_____) _____

Email address: _____



C: UPDATE COMMUNICATION PREFERENCES

Email correspondence and notifications relating to client transaction processing to be sent to:

Financial adviser or Other: _____

Email correspondence relating to advice fee payments to be sent to:

Financial adviser or Other: _____

D: UPDATE FUND CHOICE FOR ADVISER FEE RE-INVESTMENT

You may have to choose to have your fees earned on the Local Unit Trust Funds invested into one of our funds. If this is not selected, the fees will be paid to the bank account detailed in section E.

Local Unit Trust Funds and Tax-Free Investments Adviser number: _____

If you wish to re-invest your fees, please provide your investor number _____ or complete an investor application form to have one created for you. Instructions for re-investment of fees payable by Coronation Management Company (RF) (Pty) Ltd ("Coronation") in respect of the Selected Products as referred to in Item A (A) 1 of Annexure A of the attached Terms and Conditions.

FUND NAME	% Per Fund
_____	%
_____	%

All funds listed above refer to the A-Class of the respective funds, except for Bond Fund and Smaller Companies Fund which refer to the R-Class, and Resources Fund and Industrial Fund which refer to P-Class.

Payments of fees for all other products will be paid directly into the bank account specified in section E or F as applicable.

E: UPDATE LOCAL BANK DETAILS

These banking details will be used for the local unit trust funds, tax-free investments, life and retirement products per the selected products listed in items A (A) 1,2 and 3 of Annexure A on the attached Terms and conditions.

- Advisory and all advisers
- Retirement and Life Products Advisory number: _____
- Local Unit Trust Funds Advisory number: _____
- Specify unit trust adviser number(s): _____

Account holder name: _____

Bank: _____ Account number: _____

Branch code: _____ Type of account: Current/Cheque Savings

Signature or authorised signatory*:
* Please forward proof of authorisation

SIGN WITHIN THE BOX

Proof of new banking details (e.g. bank statement or cancelled cheque less than three months old) are required.
All payments from Coronation will be electronically transferred into this account.



F: UPDATE OFFSHORE BANK DETAILS

These banking details will be used for the Offshore Investment Funds per the selected products listed in items A (A) 4 of Annexure A detailed on the attached Terms and conditions.

Advisory and all advisers

Specify adviser number(s): _____

Beneficiary Bank Account Details

Bank name: _____

Bank address: _____

Branch name: _____ Branch/sort code: _____

SWIFT code: _____ IBAN: _____

Account holder name: _____

Account number: _____ Account currency: _____

Correspondent Bank Account Details

(A correspondent bank acts as a point of contact for another bank in a country or state where the second bank does not have a branch or agency).

Bank: _____

Bank address: _____

Branch name: _____ SWIFT code: _____

Signature of authorised signatory*:

* Please forward proof of authorisation

SIGN WITHIN THE BOX



Proof of new banking details (e.g. bank statement or cancelled cheque less than three months old) are required.
All payments from Coronation will be electronically transferred into this account.



G: UPDATE AUTHORISED SIGNATORIES

To be completed by managers, close corporation members, partners and persons authorised to transact on behalf of the entity. e.g. Persons with power of attorney or mandate acting on behalf of the entity.



Please attach a copy of ID document and utility bill of the authorised signatory.

Full Name	Contact Number	Email Address	Signature

Please indicate whether you require a joint signing authority by making an election below:

- We instruct Coronation to only action instructions to change details and issue new adviser numbers signed by all authorised signatories named above.
- We instruct Coronation to action instructions to change details and issue new adviser numbers signed by any of the authorised signatories named above.
- Refer to the attached Authorised Signatory list.

Coronation will action instructions signed by any of the authorised signatories named above if no election is made.

H: DECLARATION

I/We

- ▶ hereby consent, where this is applicable to me/us as registered VAT vendor(s), to the use by Coronation of self-invoicing, and confirm that I/we will not issue tax invoices, debit notes or credit notes in respect of the fees payable to me/us by Coronation.
- ▶ warrant the above information to be correct. Coronation shall not be liable for any loss or damage suffered on account of incorrect information provided by me/us or as a result of a change in my/our information or my/our misrepresentation or my/our involvement in any fraudulent act.
- ▶ undertake to advise Coronation in writing should any of the details completed herein change subsequent to signature hereof by me/us.

Signed at: _____ on this _____ day of _____ year _____

1st Authorised Signatory: _____

SIGN WITHIN THE BOX

2nd Authorised Signatory: _____

SIGN WITHIN THE BOX



I: SUPPORTING DOCUMENTATION

(Copies of the supporting documentation are sufficient as long as all text and photographs are clear and legible).

The following outlines the supporting documentation required in terms of FICA, as well as other documents required by Coronation. This documentation is necessary in order for Coronation to verify details listed in this application form.

- Proof of VAT number (e.g. any SARS issued document bearing name and VAT number)
- Proof of business address (e.g. bank statement, utility bill or telephone account less than three months old)
- Proof of trading name (e.g. copy of a letterhead)

PLUS, whichever one of the below that is applicable:

South African companies:

- Certificate of Incorporation (CM1/COR14.3)
- Most recent CM29/COR39
- Certificate of Name Change (CM9) (where applicable)

Foreign companies:

- Official document from foreign regulator reflecting name, registration number and address, and any name changes since incorporation

Close corporations:

- Amended Founding Statement (CK2) (where applicable) or Certificate of Incorporation

Partnerships:

- Partnership Agreement

Trusts:

- Trust Deed and Letter of Authority issued by the Master of the High Court